

### **Remarks/Arguments**

The foregoing amendments to the claims are of a formal nature, and do not add new matter. Claims 119-138 are pending in this application and are rejected on various grounds. Claims 119-123, 127-128 and 132-134 have been canceled without prejudice or disclaimer to claim their subject matter in subsequent continuation or divisional applications. Claims 139-142 have been added, support for which is found in canceled claim 132 and in the instant specification at page 285, line 11 onwards. Entry of these claims is respectfully requested. Accordingly, Claims 119-126, 129-131, 135-142 are now pending in this application. Claims 119-128 have been amended for clarity and with the recitation "wherein said nucleic acid is amplified in lung adenocarcinomas," support for which is found in Example 170 of the instant specification, especially on Table 8 and 9B. The rejections to the presently pending claims are respectfully traversed.

### **Specification**

The disclosure was objected to by the Examiner as containing "embedded hyperlink and/or other form of browser-executable code." The foregoing amendment to the specification which deleted all embedded hyperlinks, is believed to overcome the present objections.

In addition, amendments to the specification have incorporated the requisite assurances that "all restrictions imposed by the depositor on the availability to the public of the deposited material will be irrevocably removed upon the granting of the pertinent U.S. patent."

Accordingly, Applicants believe that all objections to the specification has been overcome.

### **Continuity**

The Examiner asserts that Applicants have not complied with conditions to receive benefit of an earlier filing date under 35 U.S.C. 119(e) because allegedly, the provisional applications listed in the first paragraph of the instant application do not refer to SEQ ID NO: 357, PRO1182 or Figure 252. Applicants respectfully traverse.

Applicants submit that they rely on the gene amplification assay for patentable utility of PRO1182 and its antibodies, which was first disclosed in U.S. Provisional Application 60/141,037, filed June 23, 1999, priority to which has been claimed in this application.

Applicants note that the sequences disclosed in the U.S. Provisional Application 60/141,037 have a different sequence listing and a different Figure numbering from that of the current application; therefore, the sequence of PRO1182 is listed as SEQ ID NO: 51, Figure 38 in Application 60/141,037. Hence, Applicants are entitled to the benefit of the above provisional application, and accordingly, Applicants believe they are entitled to an effective filing date of at least **June 23, 1999**. The Examiner is respectfully requested to reconsider this application's priority based on this clarification.

**Claim Rejections – 35 USC § 101 and §112, first paragraph**

Claims 119-138 were rejected under 35 U.S.C. §101 since allegedly "none of the asserted utilities are specific to the claimed nucleic acids, since such can be applied to any nucleic acid." Claims 119-138 were also rejected under 35 U.S.C. §112, first paragraph allegedly "since the claimed invention is not supported by either a specific and substantial asserted utility or a well established utility, one skilled in the art would not know how to use the claimed invention". For the reasons outlined below, Applicants respectfully disagree.

Initially, Applicants submit that the cancellation of claims 127-128 and 132-134, without prejudice or disclaimer, renders this rejection moot to these claims. Further, without acquiescing to the propriety of this rejection, Applicants have amended claims 119-123 to recite a functional recitation: "wherein said nucleic acid is amplified in lung adenocarcinomas."

Applicants further submit that they rely on the gene amplification assay for patentable utility of the nucleic acids encoding PRO1182 molecule in the instant application, which was first disclosed in U.S. Provisional Application 60/141037, filed June 23, 1999, priority to which has been claimed in this application. Gene amplification is an essential mechanism for oncogene activation. The gene amplification assay is well-described in Example 170 of the present application, where the inventors isolated genomic DNA from a variety of primary cancers and cancer cell lines that are listed in Table 9 (pages 539 onwards of the specification), including primary lung cancers of the type and stage indicated in Table 8 (page 546). As a negative control, DNA was isolated from the cells of ten normal healthy individuals, which was pooled and used as a control (page 539, lines 27-29). Gene amplification was monitored using real-time

quantitative TaqMan™ PCR and the results are set forth in Table 9C. As explained in the passage on page 539, lines 37-39, "the results of TaqMan™ PCR are reported in  $\Delta$ Ct units. **One unit** corresponds to one PCR cycle or approximately a **2-fold amplification**, relative to control, two units correspond to 4-fold, 3 units to 8-fold amplification and so on" (emphasis added). Table 9C says that PRO1182 showed approximately 1.43-1.81  $\Delta$ Ct units which corresponds to 2<sup>1.43</sup> - 2<sup>1.81</sup> fold amplification or **2.6945 fold to 3.506-fold** amplification in lung adenocarcinomas. Thus, one of ordinary skill in the art would find it credible that the PRO1182 nucleic acid is a diagnostic marker for lung adenocarcinomas, and this is a specific and substantial utility as well.

Based on the instant disclosure, which details how to make and use the nucleic acid variants (see pages 308-311), and the advanced knowledge in the art at the time of filing, one skilled in the art would know exactly what nucleic acid variants the instant claims encompass and would know how to make and use these nucleic acids for the diagnosis of lung adenocarcinomas without undue experimentation; for example, by using diagnostic methods based on hybridization to such amplified sequences.

Thus, Applicants have demonstrated utility for the PRO1182 nucleic acid and have pointed out to data in the specification that clearly supports a role for the PRO1182 nucleic acid as a lung adenocarcinoma tumor marker. Accordingly, the present 35 U.S.C. §101 and §112, first paragraph utility rejections should be withdrawn.

#### **Claim Rejections - 35 USC § 112, first paragraph- Written Description**

Claims 119-138 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor, at the time of filing, had possession of the claimed invention. Applicants respectfully traverse this rejection to the pending claims.

#### **The Legal standard for Written Description**

The well-established test for sufficiency of support under the written description requirement of 35 U.S.C. §112, first paragraph is whether the disclosure "reasonably conveys to the artisan that the inventor had possession at that time of the later claimed subject matter." *In re*

Kaslow, 707 F.2d 1366, 1375, 212 USPQ 1089, 1096 (Fed. Cir. 1983); see also Vas-Cath, Inc. v. Mahurkar, 935 F. 2d at 1563, 19 USPQ2d at 1116 (Fed. cir. 1991). The adequacy of written description support is a factual issue and is to be determined on a case-by-case basis. see e.g. Vas-Cath, Inc. v. Mahurkar, 935 F. 2d at 1563, 19 USPQ2d at 1116 (Fed. cir. 1991). The factual determination in a written description analysis depends on the nature of the invention and the amount of knowledge imparted to those skilled in the art by the disclosure. Union Oil v. Atlantic Richfield Co., 208 F. 3d 989, 996 (Fed. Cir. 2000).

### **Arguments**

As noted above, whether the Applicants were in possession of the invention as of the effective filing date of an application is a factual determination, reached by the consideration of a number of factors, including the level of knowledge and skill in the art, and the teaching provided by the specification. The inventor is not required to describe every single detail of his/her invention. An Applicant's disclosure obligation varies according to the art to which the invention pertains.

The present invention pertains to the field of recombinant DNA/protein technology. It is well established that the level of skill in this field is very high since a representative person of skill is generally a Ph.D. scientist with several years of experience. Accordingly, the teaching imparted in the specification must be evaluated through the eyes of a highly skilled artisan as of the date the invention was made. The instant invention, defined by the claims, concerns nucleic acids having 80%, 85%, 90%, 95% or 99% sequence identity with the disclosed nucleic acid sequence SEQ ID NO: 356 and further recite the functional recitation: "wherein said nucleic acid is amplified in lung adenocarcinomas." Based on the detailed description of the cloning and expression of variants of PRO1182 in the specification, the description of the gene amplification assay and description of the testing of variant nucleic acids in the assay, the actual reduction to practice of sequence SEQ ID NO: 356 and the functional recitation in the instant claims, Applicants submit that one of skilled in the art would know that Applicants possessed the invention as claimed in the instant claims.

Hence, Applicants submit that this rejection should be withdrawn.

### **Claim Rejections - 35 USC § 112, first paragraph- Deposit rules**

Claims 119-138 are rejected under 35 USC § 112, first paragraph, as not complying with the enablement requirement. The Examiner asserts that the deposit made under ATCC accession number 203088 must be obtainable by a repeatable method set forth in the specification or otherwise readily available to the public.

Applicants submit that amendments to the specification have (1) the current ATCC address; and (2) incorporated the requisite assurances that "all restrictions imposed by the depositor on the availability to the public of the deposited material will be irrevocably removed upon the granting of the pertinent U.S. patent." Thus, Applicants comply with the enablement requirement and this rejection should be withdrawn.

### **Claim Rejections – 35 USC § 112, second paragraph**

Claims 119-138 are rejected under 35 U.S.C. §112, second paragraph for being indefinite. The Examiner asserts that these claims are rendered indefinite because of the phrase "extracellular domain" and further, that Claims 132 and 133 are rendered indefinite because of the phrase "stringent conditions."

Applicants submit that references to the term " extracellular domain" have been removed in claims 119-138 and further, claims 132 and 133 have been canceled without prejudice or disclaimer for pursuit of this subject matter in subsequent continuation or divisional applications. Further, new claims 139-145 recite the precise conditions used during hybridization. Accordingly, Applicants submit that the claims are definite and respectfully request that this rejection be withdrawn.

### **Claim Rejections - 35 USC § 102**

Claim 43 is rejected under 35 U.S.C. §102(b) as being over Davies *et al.* (Accession no. HSU 51280- 1996). Applicants assume this rejection is directed to claim 134 as claim 43 is not pending in the instant application. The Examiner asserts that Davies discloses a polynucleotide which contains several lengths of 10 or more nucleotides which are identical to the instantly claimed nucleic acids.

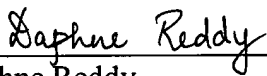
In view of cancellation of claims 132-134, this rejection is obviated and should be withdrawn. Further, Applicants submit that since new claims 139-142 recite an isolated nucleic acid molecule "at least 20 nucleotides in length" of SEQ ID NO:350 or ATCC accession number 203088 or complements thereof, and further recites **high stringency** hybridization conditions, the instant claims 139-142 are not anticipated by Davies et al. Accordingly, this rejection should be withdrawn.

The present application is believed to be in *prima facie* condition for allowance, and an early action to that effect is respectfully solicited.

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 08-1641 (Attorney Docket No.: 39780-2730P1C64). Please direct any calls in connection with this application to the undersigned at the number provided below.

Respectfully submitted,

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Daphne Reddy  
Reg. No. 53,507

**HELLER EHRMAN WHITE & McAULIFFE LLP**

**Customer No. 35489**

275 Middlefield Road

Menlo Park, California 94025

Telephone: (650) 324-7000

Facsimile: (650) 324-0638

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